



OSMM&N File No. 212405US23

Serial No. 10/608,207

In the matter of the Application of: Monroe E. WALL

For: CAMPTOTHECIN ANALOGS HAVING AN E-RING KETONE

Dept.: 3MICAL

By: JDM/saf

**Due Date: April 1, 2005**

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Credit Card Form for \$180.00
- Dep. Acct. Order Form
- PTO Cover Letter
- International Search Report
- Written Opinion of the International Searching Authority
- Information Disclosure Statement
- PTO-1449



**COPY**



Docket No.: 212405US23

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313



ATTORNEYS AT LAW

J. DEREK MASON  
(703) 413-3000  
DMASON@OBLOON.COM

RE: Application Serial No.: 10/608,207

Applicants: Monroe E. WALL

Filing Date: June 30, 2003

For: CAMPTOTHECIN ANALOGS HAVING AN E-RING  
KETONE

Group Art Unit: 1625

Examiner: DESAI, RITA J.

SIR:

Attached hereto for filing are the following papers:

**International Search Report,**  
**Written Opinion of the International Searching Authority,**  
**Information Disclosure Statement**  
**PTO Form 1449, Reference (1)**

Our credit card payment form in the amount of **-\$180.00-** is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

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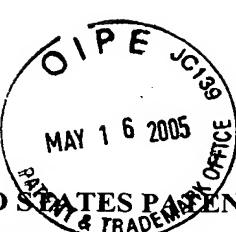
J. Derek Mason, Ph.D.  
Registration No. 35,270

Customer Number

**22850**

(703) 413-3000 (phone)  
(703) 413-2220 (fax)

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Monroe E. WALL

SERIAL NO: 10/608,207

GAU: 1625

FILED: June 30, 2003

EXAMINER: DESAI, RITA J.

FOR: CAMPTOTHECIN ANALOGS HAVING AN E-RING KETONE

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

## REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

\_\_\_\_\_  
J. Derek Mason, Ph.D.  
Registration No. 35,270

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 212405US23	SERIAL NO. 10/608,207		
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Monroe E. WALL			
				FILING DATE June 30, 2003	GROUP 1625		
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,043,367	03/28/2000	ROFFLER, ET AL.			
	AB	6,509,345	01/21/2003	LAVIELLE, ET AL.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
	AO	EP 1 101 765 A2	05/23/2001	EUROPE	YES	NO	X
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW	International Search Report / PCTUS04/17436, (International filing date, 06/30/2004)					
	AX	Written Opinion of the International Searching Authority / PCT/US04/17436					
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner				Date Considered			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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